December 20, 2006

TO: **State Homeland Security Working Group**
             State and Local Officials
             County Emergency Management Directors
             Area Development District Executive Directors

FROM: **Thomas H. Arnold**
             Director of Training Programs and
             State National Incident Management System Compliance Officer

RE: **2006 and 2007 National Incident Management Systems Compliance Requirements**

Federal Fiscal Year 2006 / 2007 NIMS Requirements:

The NIMS Compliance Activities for FFY 06/07 has been released by the NIMS Integration Center (NIC) along with a letter from the Director of FEMA to the Governors.

The following are the new FFY 06/07 compliance activities for NIMS Compliance for <u>States</u> and Territories:

State Adoption and Infrastructure:
-Monitor and assess outreach and implementation of NIMS requirements across the state/territory, including tribal/local jurisdictions.

-For departments and/or agencies identified within the state/territorial NIMS implementation plan, designate a single point of contact to serve as principal coordinator for NIMS Implementation.

Command and Management:
-Ensure that the state/territorial Public Information System can gather, verify, coordinate, and disseminate information during and incident.

Preparedness Planning: - No New Task for FY07

Preparedness Training: -Complete ICS 300
                             (Middle management, Command and general staff)

                             -Completion of ICS 400
                             (Command and general staff)

Preparedness Exercises: - No New Task for FY07

Resource Management:

-Validate that the inventory of response assets conforms to Homeland Security resource typing.

-Utilize the state/territory response asset inventory for Intra and Inter-State Mutual Aid (such as Emergency Management Assistance Compact (EMAC) request, exercises, and actual events.

Communication and Information Management:
-Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture).
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The following are the new FFY 06/07 requirements for NIMS Compliance for <u>Local Jurisdictions</u>:

Community Adoption:
-Designate a single point of contact within the tribal/local jurisdiction to serve as principal coordinator for NIMS implementation.

Command and Management:
-Ensure that the Public Information System can gather, verify, coordinate, and disseminate information during and incident.

Preparedness Planning: -No New Task for FFY07

Preparedness Training: -Complete ICS 300
                                     (Middle management, Command and general staff)

                                     -Completion of ICS 400
                                     (Command and general staff)

Preparedness Exercises: -No New Task for FFY07

Resource Management:
-Validate that the inventory of response assets conforms to Homeland Security resource typing standards and provide to the state/territory as required.

-Utilize the state/territory response asset inventory for Intra-State Mutual Aid requests, exercises, and actual events.

Communication and Information Management:

-Develop systems, tools, and processes to present consistent and accurate information to incident managers at all levels (e.g., common operating picture).
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The following are the new FFY 06/07 compliance activities for NIMS Compliance for <u>State</u> and <u>Local</u> Jurisdictions:

In FFY 06/07, all jurisdictions must comply with specific performance-based metrics.

These metrics were derived, in part, from the FFY06 required compliance activities and also include some new performance measures.

> <u>Metrics will be used to:</u>
> * Gather information on the current state of NIMS compliance;
> * Identify best practices and areas that may require further technical assistance;
> * Provide continued guidance and feedback; and
> * Assist with the refinement of metrics and/or data-gathering tools.

NIMCAST Upgrade:

The NIMCAST tool will be redesigned with the inclusion of the Metrics. It will be available in early 2007. It will be geared to better meet stakeholder needs. All jurisdictions are encouraged to use the upgraded NIMCAST to assess their compliance.

The metrics are divided into three categories, or "tiers."

Tier 1 metrics (**in bold type**) are deemed critical and **<u>must</u>** be answered in the affirmative by jurisdictions to be NIMS compliant for FFY07.

Tier 2 and Tier 3 metrics will give jurisdictions an opportunity to better identify areas of the NIMS that may require technical assistance. Completing Tier 2 and 3 metrics is optional but encouraged.

Terms of Reference:
The Terms of Reference document defines words or phrases that may require further explanation.

A fact sheet and samples of the NIMS Performance Based Metrics is posted on the NIMS web page located on the KY. Office of Homeland Security website at:
www.homelandsecurity.ky.gov/firstresponders/nims.htm

<u>ALERT</u>
The 2006 / 2007 NIMS compliance requirements must be met by October 1, 2007 to be eligible for 2008 Federal preparedness grant funding

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